

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Vahala et al  
Filed: 02/16/2001  
App. No.: 09/788,300  
For: Resonant optical filters



Art Unit: 2877  
Examiner: Michael P. Mooney

**AMENDMENT AND REQUEST FOR RECONSIDERATION UNDER 37 CFR §1.111**

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

01/20/2004

Sir:

In response to the Office Action dated 10/17/2003, please amend the above named application as set forth hereinbelow. The amendments are presented in accordance with the Revised Amendment Practice set forth in 37 CFR § 1.121 effective 07/30/2003.

A shortened statutory period for reply of three months was set in the Office Action. Accordingly, this response is timely filed on 01/20/2004, since 01/17/2004 falls on a Saturday and Monday 01/19/2004 is a Federal holiday.

An Information Disclosure Statement is submitted herewith, listing U.S. Patent Application Pub. No. 2002/0081055 A1 (published 06/27/2002; App. No. 10/037,146 filed 12/21/2001; photocopy enclosed). The reference was inadvertently omitted from earlier submissions. Applicants regret any inconvenience this may cause. The conditions of 37 CFR §1.97(c) are met. Accordingly, the prescribed fee set forth in 37 CFR §1.17(p) is enclosed herewith.

Prior to this amendment the application contained 45 claims (38 independent, 7 dependent). As currently amended, the application contains 33 claims (all independent). Accordingly, no additional claim fees are due at this time.

**Amendment to the Specification** appears on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of Claims which begins on page 3 of this paper.

**Remarks** begin on page 35 of this paper.

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